

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE COMMISSIONER FOR PATENTS

Attorney's Docket Number: 02037IP

Applicant:

Robert Goetze

Serial No.: Filed:

10/622,270 07/18/03

For:

PROTECTIVE CASUAL FOOTWEAR SUITABLE AS A SLIPPER

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS COMMISSIONER FOR PATENTS Washington, DC

PETITION FOR REVIVAL PURSUANT TO 37 CFR §1.137(b)

The Petitioner hereby petitions the Honorable Commissioner, pursuant to 37 CFR §1.137(b) for revival of the above-styled application and, in support thereof, would show:

- 1. The applicant prays for revival of the above-styled patent application pursuant to 37 CFR §1.137(b) since the failure to respond to the office action was unintentional.
- 2. The undersigned, as more fully set forth in the earlier petition for extension and waiver of fees, was deployed to Iraq and on active duty during the period of December 1, 2003, until November 15, 2004, as a member of the United States Army Reserve. Following release from active-duty the undersigned took a month to recuperate and began to review and handle matters which could not have reasonably been transferred to other counsel during his absence. The undersigned had an office assistant checking mail and telephone messages during his absence. When the action came in relating to this matter the office assistant reported the matters of the undersigned docketed it on his laptop computer as July 29, 2004. The undersigned is unaware of whether this resulted from the error of the office assistant for the undersigned but nevertheless it was misdocketed. The undersigned determined that this action could be handled upon

return and it was scheduled for completion over the weekend of January 29 and 30, 2005. Under the mistaken assumption that the six-month date was the January 29, 2005, which was a weekend, the undersigned verily believed that it was timely answered. The undersigned customarily does patent responses on weekends and evenings when the office is quiet and there are not interruptions by telephone or other distractions. In light of the amount of material which had accumulated over the 14 months of absence it was not practical to do this before and the initial review of the office action indicated that it could be handled in only one or two days of dedicated work and was given a high priority. The office action response, any required drawing corrections, and a set of amended claims were furnished with the late response. The undersigned was not aware until receiving the decision on petition that the actual mailing date was July 28, 2004.

- 3. The designated fee of \$675.00 is tendered herewith.
- 4. The undersigned would respectfully submit that the facts and circumstances set forth in the petition for extension and accompanying declaration together with the circumstances set forth in paragraph 2 above demonstrates that the entire delay was unintentional. The undersigned would further submit that the inventor and the undersigned have desired diligent prosecution of this patent and still intend diligent prosecution.
- 5. The undersigned does not consider that this application requires a terminal disclaimer or fee set forth in 37 CFR § 1.20(d) and 37 CFR § 1.37(c).
- 6. The undersigned respectfully submit that all of the requirements set forth in the petition decision of April 25, 2006, have been satisfied and that the patent application is eligible for revival and the undersigned further respectfully requests are revival of such patent application. The undersigned would further requests that if there are any further requirements or if there are any defects or problems with this response which may require supplementation for correction that the

undersigned be given in formal notice and an opportunity to cure.

WHEREFOR the Petitioner respectfully petitions the Commissioner permit the above-styled patent application to be revived and advanced for prosecution and consideration of the office action response of January 31, 2005...

Respectfully Submitted.

Charles E. Lykes, Jr. Attorney At Law 501 S. Ft. Harrison Ave., Ste 101 Clearwater, Florida 33756 Tel. No. (727) 441-8308

Reg. No. 30,083

 $\frac{6/25/2006}{\text{Date}}$

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TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

CERTIFICATE OF MAILING

I HEREBY CERTIFY that the foregoing PETITION FOR REVIVAL PURSUANT TO 37

CFR §1.137(b) is in accordance with Title 37, Code of Federal Regulations, § 1.8, being deposited as first class United States mail addressed to:

Mail Stop Petition **Commissioner for Patents** P. O. Box 1450 Alexandria, Virginia 22313-1450

this $\frac{25}{25}$ day of $\frac{6}{25}$ Date

Charles E. Lykes.

Reg. No. 30,083



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

CHARLES E. LYKES, JR. ESQ SUITE 101 501 S. FT. HARRISON AVENUE CLEARWATER, FL 33756-5317

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APR 2 5 2006

In re Application of Robert Goetze Application No. 10/622,270 OFFICE OF PETITIONS

Filed: July 18, 2003

ON PETITION

Attorney Docket No. 02037IP

This is a decision on the petition filed February 3, 2005, under 37 CFR 1.103 for enlargement of time for response to action dated July 29, 2004, which is treated as a petition to withdraw the holding of abandonment, under 37 CFR 1.181.

The petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR
1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition under 37 CFR 1.181," or, as explained in more detail below, "...under 37 CFR 1.137(a)" or (b). This is not a final agency decision.

A non-Final Office Action was mailed July 28, 2004 which set a three month shortened statutory period for reply. No response having been timely filed and no extensions of time having been filed by January 28, 2005, this application became abandoned October 29, 2004. The instant petition and this decision precede the mailing of the Notice of Abandonment.

Petitioner contends that due to military service during the periods December 1, 2003 to November 15, 2004, he was unable to file a timely response to the non-Final Office Action mailed July 28, 2004. Instead of an extension of time however, petitioner filed a petition to enlarge the time for response. Petitioner is advised that a more appropriate response should have been a petition to suspend actions on this matter, prior to mobilization not after petitioner returned from active duty service.

Because an extension of time was not filed prior to the end of the period set out in 37 CFR 1.136, this application became abandoned.

Furthermore, the laws cited, Service Members' Relief Act and the Veteran's

Reemployment Rights Act, are laws that preserve civil obligations that are personal in nature to the service member and are not identical to the situation petitioner presents. Petitioner was both a service member and a self employed attorney however, the protections provided in the two cited laws were never intended to relieve the private attorney from service to his client or the filing of response due.

Petitioner had an obligation to either transfer cases he may have been working on prior to mobilization to other counsel or to have petitioned for an enlargement of time or suspension of the application prior to the date a response would have become due.

As for the response filed February 3, 2005, the petition notes a certificate of mail date of January 31, 2005. Had the response/petition been filed January 28, 2005, perhaps the response/petition could have been treated as a request for a three month extension of time.¹

While this matter was inappropriately filed under 37 CFR 1.103 and while petitioner has not satisfied the requirements under 37 CFR 1.181, this application will remain in an abandoned status.

Petitioner may wish to file a petition to revive under 37 CFR 1.137(a) or (b). Section 1.137(b) now provides that where the delay in reply was unintentional, a petition may be filed to revive an abandoned application or a lapsed patent pursuant to 37 CFR 1.137(b). A grantable petition pursuant to 37 CFR 1.137(b) must be accompanied by:

- (1) the required reply, unless previously filed. In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof;
- (2) the petition fee as set forth in 37 CFR 1.17(m) (\$675.00);
- (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. The Commissioner may required additional information where there is a question whether the delay was unintentional; and
- (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required pursuant to 37 CFR 1.137(c)).

¹Pursuant to 37 CFR 1.136, an extension of time must be filed prior to the expiration of the maximum period obtainable for reply to avoid abandonment.

The filing of a petition under the unintentional standard cannot be intentionally delayed and therefore should be filed promptly. A person seeking revival due to unintentional delay cannot make a statement that the delay was unintentional unless the entire delay, including the delay from the date it was discovered that the application was abandoned until the filing of the petition to revive under 37 CFR 1.137(b), was unintentional. A statement that the delay was unintentional is not appropriate if petitioner intentionally delayed the filing of a petition for revival under 37 CFR 1.137(b).

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

(571) 273-8300

Telephone inquiries concerning this matter may be directed to the undersigned

Petitions Attorney at (571) 272-3212.

Patricia Faison-Ball

Senior Petitions Attorney

Office of Petitions